Attorney's Docket No.: 06618-927001/CIT-3841/OE035

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrey B. Matsko et al. Art Unit: 2874

Serial No.: 10/772,087

Filed : February 3, 2004

Title : ELECTRO-OPTICAL MODULATION AND OTHER OPTICAL

APPLICATIONS USING POLED OPTICAL WHISPERING GALLERY

MODE RESONATORS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information

Disclosure Statement and documents listed on form PTO-1449.

Each enclosed document listed on the herewith Form PTO-1449 was cited in the attached PCT Search Report dated September 27, 2004, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any communication from a foreign patent office in a counterpart foreign application.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 10, 2004

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S. Gebhart
Name of Person Signing Certificate

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The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any applicable charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: December 10, 2004

cott C. Harris eg. No. 32,030

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Substitute Form PTO-144 U.S. Separtment of Commerce (Modified)

U.S. Separtment of Commerce (Modified)

Attorney's Docket No. 06618-927001

Application No. 10/772,087

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Andrey B. Matsko et al.

(37 CFR §1.98(b))

Filing Date February 3, 2004 Group Art Unit 2874

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2003/01585490	10/2/2003	Lopes et al.			
	AB						
	AC				_		
	AD						
	AE						
	AF						
	AG						
	ÄН						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No
	AI							
	AJ							
	AK			•				
	AL							

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document		
	AM	Kuwata-Gonokami, M., "Laser Emission From Dye-Doped Polystyrene Microsphere", LEOS '93 Conference Proceedings, Lasers And Electro-Optics Society 1993 Annual Meeting, November 1993, pages 300-301		
	AN	Anderson, D.Z. et al., "Low-Order Whispering-Gallery Modes of a BaTi03 Disk", Summaries of Papers Presented at 1999 Conference on Lasers and Electro-Optics (CLEO '99), May 1999, pages 541-542		
	AO	Lacey, S. et al., "Deformed glass Microsphere As A Three-Dimensional Chaotic Optical Microresonator", Summaries of Papers Presented at 2001 Quantum Electronics and Laser Science Conference (QELS '01 Technical Digest), May 2001, page 65		
	AP			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	